

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 645-150

First Inventor or Application Identifier Naoyuki Goto

Title "Glass for a light...filter"

Express Mail Label No. EL903125605US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
4. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity ☐ Statement filed in prior application,
Statement(s) ☐ Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09, 550, 879

Prior application information: Examiner Blackwell Rudasil, G Group / Art Unit: 1775

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

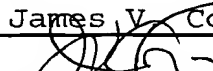
17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	James V. Costigan, Esq.				
	HEDMAN, GIBSON & COSTIGAN, P.C.				
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	Suite 2003				
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Country	U.S.A.	Telephone	(212) 302-8989	Fax	(212) 302-8998

Name (Print/Type)	James V. Costigan, Esq.	Registration No. (Attorney/Agent)	25,669
Signature		Date	10/10/01

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PTO/SB/17 (12/99)
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<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">for FY 2000</h2> <p style="text-align: center;"><i>Patent fees are subject to annual revision.</i> Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Naoyuki Goto
		Examiner Name	--
TOTAL AMOUNT OF PAYMENT		(\$)	1,140.00
		Group / Art Unit	--
		Attorney Docket No.	645-150

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																					
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 08-1540</p> <p>Deposit Account Name: Hedman & Costigan, P.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>380</td><td>216 190</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>870</td><td>217 435</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,360</td><td>218 680</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,850</td><td>228 925</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>300</td><td>219 150</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>300</td><td>220 150</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>260</td><td>221 130</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,210</td><td>241 605</td><td>Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>430</td><td>243 215</td><td>Design issue fee</td></tr> <tr><td>144</td><td>580</td><td>244 290</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 65	Surcharge - late filing fee or oath	127	50	227 25	Surcharge - late provisional filing fee or cover sheet.	139	130	139 130	Non-English specification	147	2,520	147 2,520	For filing a request for reexamination	112	920*	112 920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	115	110	215 55	Extension for reply within first month	116	380	216 190	Extension for reply within second month	117	870	217 435	Extension for reply within third month	118	1,360	218 680	Extension for reply within fourth month	128	1,850	228 925	Extension for reply within fifth month	119	300	219 150	Notice of Appeal	120	300	220 150	Filing a brief in support of an appeal	121	260	221 130	Request for oral hearing	138	1,510	138 1,510	Petition to institute a public use proceeding	140	110	240 55	Petition to revive - unavoidable	141	1,210	241 605	Petition to revive - unintentional	142	1,210	242 605	Utility issue fee (or reissue)	143	430	243 215	Design issue fee	144	580	244 290	Plant issue fee	122	130	122 130	Petitions to the Commissioner	123	50	123 50	Petitions related to provisional applications	126	240	126 240	Submission of Information Disclosure Stmt	581	40	581 40	Recording each patent assignment per property (times number of properties)	146	690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	149	690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	Other fee (specify) _____				Other fee (specify) _____			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James V. Costigan	Registration No. (Attorney/Agent)	25,669
Signature		Telephone	212-302-8989
		Date	10/10/01

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